

# IBM Diverse Supplier Registration

If you do chose to answer this questionnaire, please note the following: If you decide to share your personal data, it is for confirmation of ownership to secure an auditable supplier diversity process. Your personal data will only be used for audit purposes. Your personal data will only be shared with appropriate members of the Supplier Diversity Team and the Procurement Sourcing team if needed. Due to annual reverification of ownership, this data is stored on our servers for annual periods and as long as your company does business with IBM. Only information about your company's diverse classification will be shared within the company - no personal data about the ownership.

We assume that you will obtain all the appropriate consents from data subjects hereunder if needed, and that you will bear the consequences for your failure thereof.

If you would like to withdraw your information, have any questions or concerns please send a note to [sdportal@us.ibm.com](mailto:sdportal@us.ibm.com).

COMPANY INFORMATION	
Full Company Name:	
Address:	
Telephone Number:	
Email Address:	
Internet Address:	
Owner Name, Title, Contact Information:	
Primary Contact Name, Title, Contact Information:	
Year Company was established:	
Dun & Bradstreet DUNS #:	
Locations of facilities other than address listed above.	
Is your company affiliated with any other company either as a 'Parent Company, Subsidiary, or Affiliate Company'? If yes, state the relationship and list all related companies.	
Business size, please mark if large or small business; For the EU size is based on EU SME Definition:	
Last year's gross annual revenue	

DIVERSE STATUS	
<b>MINIMUM REQUIREMENT: Company must be diverse. Do not submit form if requirement is not met.</b>	
Geography of company headquarters:	
Diverse Category: (Select from Attachment A)	
Minority Constituency: (Select from Attachment B)	
Certifications: (Issuing Agency Name and expiration date) If your company is headquartered in a country where certification is not available, please state that here.	
If not diverse certified, provide Registration information: (Applicable Agency Name and expiration date if applicable)	

<b>BACKGROUND INFORMATION</b>	
What services/products/technology does your company provide? What is your core business?	
Company product/service NAICS code	
Please choose your main commodity that you wish to sell IBM your product or service from the list below. If it is not listed, please provide a brief description of your product or service.	
IBM Commodity Listing:	
Who are the company's major customers?	
Describe the company's reach. (Local, regional, national, global)	
In what countries does company operate? Indicate countries from which IBM products and services will be supplied.	
How many individuals are employed in the company?	
Does the company use subcontractors to provide services or deliverables? If yes, what portion of the total business is supported with subcontractors?	
Is the company e-business enabled? (Can the company receive and respond to 'Request for Quotes', send/receive invoices, payments, and communicate electronically?)	
Does your company hold ISO 9001 certification?	
Does your company hold ISO 14001 certification?	
Does your company hold another technical certification(s)?	
Does your company have an environmental and social responsibility program?	
<b>COMMUNICATION WITH IBM</b>	
How did you first contact IBM on this request? (email, phone call, internet page, or trade fair/matchmaking event)	
If trade fair/matchmaking event, provide name of event, location, and date.	
Please send completed form back to:	<a href="mailto:sdportal@us.ibm.com">sdportal@us.ibm.com</a>

**ATTACHMENT A  
DIVERSE CATEGORIES**

<b>GEOGRAPHY</b>	<b>CATEGORY</b>
Asia Pacific	<ul style="list-style-type: none"> <li>· MBE (Minority Business Enterprise)</li> <li>· WBE (Women Business Enterprise)</li> <li>· PWD (People with Disabilities)</li> <li>· GLBT (Gay, Lesbian, Bisexual or Transgendered )</li> <li>· War Veteran (Korea)</li> <li>· Under Cap (Japan)</li> <li>· Local Area (Japan-Okinawa and Hokkaido)</li> </ul>
Canada	<ul style="list-style-type: none"> <li>· MBE (Minority Business Enterprise)</li> <li>· WBE (Women Business Enterprise)</li> <li>· PWD (People with Disabilities)</li> <li>· GLBT (Gay, Lesbian, Bisexual or Transgendered )</li> </ul>
Europe, Middle East, Africa	<ul style="list-style-type: none"> <li>· MBE (Minority Business Enterprise)</li> <li>· WBE (Women Business Enterprise)</li> <li>· PWD (People with Disabilities)</li> <li>· GLBT (Gay, Lesbian, Bisexual or Transgendered )</li> <li>· Disadvantaged Zones (SME &amp; headquartered in a DZS defined by the EU) <a href="http://ec.europa.eu/regional_policy/en/policy/how/is-my-region-covered">http://ec.europa.eu/regional_policy/en/policy/how/is-my-region-covered</a></li> </ul>
Latin America	<ul style="list-style-type: none"> <li>· MBE (Minority Business Enterprise)</li> <li>· WBE (Women Business Enterprise)</li> <li>· PWD (People with Disabilities)</li> <li>· GLBT (Gay, Lesbian, Bisexual or Transgendered )</li> <li>· Senior People or 'Adulto Mayor'</li> </ul>
United States	<ul style="list-style-type: none"> <li>· MBE (Minority Business Enterprise)</li> <li>· WBE (Women Business Enterprise)</li> <li>· VOB (Veteran Owned Business)</li> <li>· SD-VOB (Service Disabled Veteran Owned Business)</li> <li>· PWD (People with Disabilities)</li> <li>· HUBZone (Historically Underutilized Business Zone Small Business)</li> <li>· HBCU (Historically Black Colleges and Universities and Minority Institutions)</li> <li>· GLBT (Gay, Lesbian, Bisexual or Transgendered )</li> <li>· LLC (Limited Liability Company -diverse owned)</li> <li>· JV (Joint Venture - diverse owned)</li> <li>· SA (Strategic Alliance – diverse)</li> </ul>

**ATTACHMENT B  
MINORITY CONSTITUENCIES**

<b>GEOGRAPHY</b>	<b>CONSTITUENCY</b>
Asia Pacific	<ul style="list-style-type: none"> <li>· Australia and New Zealand: Aboriginals</li> <li>· China: Mongolian, Hui, Tibetan, Uygur, Miao, Yi, Zhuang, Buyi, Korean, Manchu, Dong, Yao, Bai, Tujia, Hani, Kazakh, Dai, Li, Lisu, Wa, She, Gaoshan, Lahu, Shui, Dongxiang, Naxi, Jingpo, Khalkh, Tu, Tahir, Mulao, Qiang, Bulang, Sala, Maonan, Gelao, Xibo, Achang, Pumi, Tajik, Nu, Uzbek, Russian, Ewenki, Deang, Baoan, Yugu, Jing, Tatar, Dulong, Elunchun, Hezhe, Minba, Luoba and Jinuo.</li> <li>· India: Schedule caste, Schedule tribe, Backward caste</li> <li>· Taiwan: Aboriginals</li> </ul>
Canada	<ul style="list-style-type: none"> <li>· Aboriginal</li> <li>· Arab/West Asian</li> <li>· Black</li> <li>· Chinese, Filipino, Korean, Japanese (East Asian)</li> <li>· Latin American</li> <li>· South/Southeastern Asian</li> </ul>
Europe, Middle East, Africa	<ul style="list-style-type: none"> <li>· Germany: German National Ethnic Minority (e. g. Frisians, Sorbs, Danes, Roma and Sinti)</li> <li>· Africa - all countries: Black ownership and black woman ownership (black includes African, Coloured, Chinese and Indian persons)</li> <li>· United Kingdom (UK): Mixed: White and Black Caribbean/White and Black African, White and Asian</li> <li>· Asian including Indian, Pakistani, Bangladeshi and Indian</li> <li>· Black including Caribbean and African</li> <li>· Chinese</li> </ul>
Latin America	<ul style="list-style-type: none"> <li>· Aboriginal</li> <li>· Arab/West Asian</li> <li>· Black</li> <li>· Chinese, Filipino, Korean, Japanese (East Asian)</li> <li>· South/Southeastern Asian</li> </ul>
United States	<ul style="list-style-type: none"> <li>· Asian – Pacific American</li> <li>· Black</li> <li>· Hispanic</li> <li>· Native American</li> <li>· 8a Firm</li> </ul>

**ATTACHMENT C  
LIST OF COMMODITIES**

<b>Family A - Build To Print (Production Family)</b>	Non-product parts & subassemblies designed and fabricated from an IBM drawing (e.g. Fixtures, equipment spares, shipping trays & containers.)
<b>Family B - Site Maintenance</b>	FMO B0A All Services and suppliers that are used primarily to maintain the appearance, safety or environmental standards of a facility.
<b>Family B1 - Rent, Lease</b>	For payment of rent or lease through purchase orders.
<b>Family C – Cafeteria</b>	All services and supplies associated with the dispensing or preparation of food.
<b>Family D - Complementary Workforce Services</b>	All services that primarily require administrative, clerical, or light industrial manufacturing activities to be performed by a contractor.
<b>Family F – Construction</b>	All services and supplies associated with the rearrangement and construction of a facility. Includes all activity associated with the removal of hazardous construction materials.
<b>Family G – Environmental</b>	Environmental Disposal/Hazardous Waste/tool clean - All services and supplies associated with disposal/treatment of hazardous waste and environmental services. This includes sale of scrap material; disposal of solid waste and recycling to include cardboard, paper metals, aluminium and wood; and tool cleaning.
<b>Family H2 - IT Products, Service and Maintenance</b>	Supply of IT Equipment including; UNIX, Storage, ATM / Kiosk, POS, RFID, Wintel, Peripherals, Business Equipment hardware (including Audio Visual, Mail, Copy / Fax, and TV / VCR devices), and other IT related services solutions (including Managed Network Print, Life Cycle Management (LCM) and Pre-delivery preparation (PDP). <b>Maintenance Definition:</b> Maintenance of IT Equipment including; Unix, Storage, ATM / Kiosk, POS, RFID, Wintel, Peripherals, Business Equipment hardware (including Audio Visual, Mail, Copy / Fax, and TV / VCR devices), and provision of maintenance related service solutions such as Installs / Moves / Adds / Changes (IMACs) and workshop repairs.
<b>Family H3 - Telecommunications</b>	HDW H3A Includes Wireless (mobile phones, pagers, data, wireless and satellites) and wireline (domestic carrier voice and data services, international carrier services, audio conferencing, video conferencing and calling cards).
<b>Family H4 – Connectivity</b>	HDW Network Cabling and Installation, Networking Equipment (Routers, Switches, Hubs, Modems, Wireless Networking Equipment), Voice & Telephony Equipment (PBX, ACD, Sets, Adjunct Equipment), Uninterruptable Power Supplies <10kVA and related services (PDP, installation, MAC/IMAC, Maintenance, Help Desk and End-User Support).
<b>Family H6 - Depot Repairs / Maintenance Parts &amp; Repair Services (Production Family).</b>	IBM Global Service Parts Procurement & Warranty supports maintenance services which require the purchase, repair and warranty of IBM FRU #s for IBM LOGO and OEM machines or individual parts.
<b>Family I - Furniture / Carpeting &amp; Floor Covering</b>	FDC I0A All services and supplies associated with the rental, purchase, installation, maintenance and repair of all furniture / carpeting / floor covering within an IBM business facility.

<b>Family J - Business Services</b>	Consulting / professional services performed by firms on contractors in the area of business consulting, marketing, intelligence, education and HR benefits / programs. Does NOT include technical subcontracting / programmers.
<b>Family J1 – Communications</b>	All advertising and promotional services performed by the “Communications and Support” group of General Procurement.
<b>Family J2 - Printing Services</b>	Printing, One or more colors, sheet or web fed, and related services.
<b>Family J3 – Passthru / Facilitator Payments</b>	Direct cost reimbursement for payments made by suppliers to third parties on IBM's behalf where the amount of the payment is governed by IBM Policy, IBM program definition or law and cannot be controlled by procurement.
<b>Family K -Manufacturing Equipment (Production Family)</b>	All services and supplies associated with the rental, purchase, installation and maintenance of manufacturing and material handling equipment.
<b>Family M - Software.</b>	Software licensed to IBM for either internal use or resale, including both commercially available software, whether modified or not, and custom developed software.
<b>Family N - Technical Subcontracting</b>	SVC All technical “PROFESSIONAL” services performed by a contractor to satisfy current and future business requirements. This includes all contract programmers and engineering / design consultants.
<b>Family O - Utilities</b>	All activities associated with the payment of utility bills (i.e. Water/Sewer, Electricity, Natural Gas, Fuel Oil etc.)
<b>Family R - MRO / Supplies</b>	Operating supplies which include Maintenance, Repair and Operating (MRO) supplies, clean room garments / supplies. Office supplies, Business cards, books / subscriptions and manufacturing supplies.
<b>Family S – Security</b>	FMO S0A All services performed for the primary purpose of law enforcement and site security.
<b>Family T1 – Airlines</b>	TVL T1A All travel services associated with airlines (i.e. Ticketing), origination from the use of a corporate contract.
<b>Family T2 – Hotel</b>	All travel services associated with providing temporary living quarters for IBM employees originating from the use of a corporate contract.
<b>Family T3 - Auto Rental</b>	TVL T3A All individual or group travel and related services associated with automobile rentals only.
<b>Family T4 - Agencies</b>	TVL T4A All travel services associated with agency fees / rebates origination for the use of a corporate contract.
<b>Family T5 - Auto Lease</b>	TVL T5A All expenses associated with automobiles leased for business and / or employee compensation.
<b>Family T6 - Ground Travel Transportation</b>	Taxis / Limos / Busses or Rail transportation; Purchase of individual or group services for ground transportation associated with customer demand or expenses that cannot be individually reimbursed. If busses are required to/from IBM locations to support manufacturing demands, then purchase orders under Real Estate and Site Operations. If taxis / limos are required for distribution of physical product i.e. Parts,
<b>Family T7 - Corporate Card</b>	Corporate Card TVL T7A All services associated with corporate card from the use of a corporate card, including the fee and rebates originating from such services.

<b>Family W - Logistics (Production Family)</b>	Payments and costs associated with logistics services. WOG Geodis transition codes- For GEODIS use only.
<b>Family X - Chemicals (Production Family)</b>	All activities associated with the purchase of gases and liquids used at a facility for all purposes except cleaning (e.g. Lubricants, Acids etc.)
<b>Family Y - Prod Part / Subcontracting (Production Family)</b>	Production parts, Manufacturing subcontract and development in direct support of a manufacturing area. This would include parts that are used on manufacturing products and manufacturing off load activities. This would no include equipment spares or operating supplies
<b>Family Z - Surplus Sales (Production Family)</b>	Surplus Sales - Sale of used equipment (e.g. Process equipment, tools, test and measurement. Optical equipment) and parts (e.g. Equipment spare parts).